

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Teng POO ) Group Art Unit: 2137  
Application No.: 09/898,365 ) Examiner: Shewaye GELAGAY  
Filed: July 3, 2001 )  
For: Portable Device Having Biometric-based ) Confirmation No.: 4356  
authentication capabilities )  
)

U.S. Patent and Trademark Office  
Mail Stop: Amendment  
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Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08. This Information Disclosure Statement is being filed after the events recited in 1.97(b) but before the mailing date of a Final Rejection, a Notice of Allowance or a Quail action. Under the provisions of 37 C.F.R. §1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign patents and non-patent literature documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute

“prior art” under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, the Commissioner is hereby authorized to charge the fee to White & Case LLP Deposit Account No. 50-3672.

Respectfully submitted,

Dated: June 28 2007

By:



Thomas V. DelRosario  
Reg. No. 46,658

WHITE & CASE LLP  
1155 Avenue of the Americas  
New York, New York 10036  
(650) 213-0323

Enclosures

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	09/899/365
				Filing Date	July 3, 2001
				First Named Inventor	Teng POO
				Art Unit	2137
				Examiner Name	GELAGAY, Shewaye
(Use as many sheets as necessary)				Attorney Docket Number	1601457-0007
Sheet	1	of	4		

## U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM- DD-YYYY	Name of Patentee Applicant of Cited Document
	1.	2002/0065083 A1	05-30-2002	Patel
	2.	2002/0073234 A1	06-13-2002	Ma, David
	3.	2002/0131397 A1	09-19-2002	Patel et al.
	4.	2002/0174348 A1	11-21-2002	Ting
	5.	2003/0122839 A1	07-03-2003	Matraszek
	6.	2004/0025031 A1	02-05-2004	Ooi et al.
	7.	2004/0049589 A1	03-11-2004	Papanikolaou et al.
	8.	2004/0098598 A1	05-20-2004	Wolfram
	9.	2004/0179588 A1	09-16-2004	Kuffner et al.
	10.	2005/0235148 A1	10-20-2005	Scheidt et al.
	11.	2006/0230202 A1	10-12-2006	Lee; Ju-Heon
	12.	5,282,247	01-25-1994	McLean et al.
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	22.	6,330,648 B1	12-11-2001	Wambaeh et al.
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BAUDALTO 81473 (2K)

#### Data Considerations

**EXAMINER:** Identify all of the records considered, whether or not in conformance with MPEP 1452.1. Disclose through this form any new communication to applicant. **Applicant's Name:** **James Dennis** **USPTO Patent Document:** US 20150344761 A1 **Priority Date:** 09/10/2013 **Office:** U.S. Patent Office **Document:** The two-letter code (WFO) (WFO Standard 37). **For Japanese patent documents:** The checkmarks beneath **Language** of the range of the European Patent Translation is checked. **This collection of information is required by 37 CFR 1.7 and 1.9.** The information is retained by the appropriate symbols as indicated on the document under WFO Standard 37 if it applies. **Applicant:** is to place a checkmark here if English language translation is claimed. **Information is retained by 35 U.S.C. § 132(a) and 37 CFR 1.14.** This collection is retained for 2 years from the date of filing, including the period during which the competing applications from the USPTO. This will vary depending upon the statute of limitations for the particular application. **Confidentiality:** is granted by 35 U.S.C. § 132(b) and 37 CFR 1.14. This collection is retained for 2 years from the date of filing, including the period during which the competing applications from the USPTO. This will vary depending upon the statute of limitations for the particular application. **DO NOT SEND FEES OR COMPLETED FORM TO THE FOLLOWING ADDRESSES:** **SEND TO:** Commissioner, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORM TO THE FOLLOWING ADDRESSES:** **SEND TO:** Commissioner, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/898/365
Filing Date	July 3, 2001
First Named Inventor	Teng POO
Art Unit	2137
Examiner Name	GELAGAY, Shewaye

Sheet	2	of	4	Attorney Docket Number	1601457-0007
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	DD-YYYY		
	30.	6,848,045 B1	01-25-2005	Long et al.	
	31.	6,880,054 B1	04-21-2005	Cheng et al.	
	32.	6,970,183 B1	11-29-2005	Monroe, David	
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	34.	6,986,030 B1	01-10-2006	Shumueli et al.	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	39.	CN1291750A	04-18-2001	Deng		
	40.	EP 0 674 290 B1	09-27-1995	Fujitsu Ltd.		
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	53.	JP 11-265324 A	09-28-1999	Hitachi Ltd.		

PALALTO 81472 (2K)	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with each communication to applicant. *Applicant's unique citation designation number (optional). See Kinds Codes of PTO Patent Documents at <a href="http://uspto.gov">uspto.gov</a> or MPEP 601.04. Examining Office that issued the document by the date letter code (WIPR, GSAB, ST, ET). Your Japanese patent application is indicated by the date letter code (WIPR, GSAB, ST, ET). If possible, "Applicant is to place a checkmark in the box below if the reference is cited." This collection is exempted from the requirements of the Paperwork Reduction Act of 1995 under section 552(e)(4) of the PRA. The collection is subject to the provisions of the Patent, Trademark and Copyright Act of 1976, Title 35, United States Code, and 37 CFR 1.97. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Total will vary depending upon the individual case. Any comments on the amount of time you require to complete this form should be addressed to the Chief Counselor for Patent Law, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS - SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	

Substitute for form 1449/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	09/898/365
Sheet	3	of	4
Filing Date	July 3, 2001		
First Named Inventor	Teng POO		
Art Unit	2137		
Examiner Name	GELAGAY, Shewaye		
Attorney Docket Number	1601457-0007		

## FOREIGN PATENT DOCUMENTS

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	54.	JP 2000-181963 A	06-30-2000	Digital Derivative Tech.
	55.	JP 2000-331166 A	11-30-2000	NEC Yonezawa
	56.	JP 2000-350174 A	12-15-2000	Seiko Epson
	57.	JP 2001-059701 A	03-06-2001	Sony Corp
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	60.	JP 2001-118046 A	04-27-2001	Chin Meisho
	61.	JP 2001-128113 A	05-11-2001	Fuji Photo Film Co.
	62.	JP 2002-041247 A	02-08-2002	NEC Corp.
	63.	JP 2002-222022 A	08-09-2002	Toshiba Corp.
	64.	JP 2005-167965 A	06-23-2005	Matsushita
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	66.	KR 1999-0018244 A	03-15-1999	LG Electronics
	67.	KR 2000-0009757 A	02-15-2000	Samsung Electronics
	68.	KR 2000-0061817 A	10-25-2000	Samsung Electronics
	69.	KR 2001-0044238 A	06-05-2001	Core Digital
	70.	KR 2001-0067730 A	07-13-2001	CLCSoft Co.
	71.	KR 2001-0074291 A	08-04-2001	JANG, Sung Moon
	72.	KR 2001-0097886 A	11-08-2001	Mevol.com Co.
	73.	KR 2002-0014193 A	02-25-2002	Handy Com
	74.	NZ 329369 (WO 9739549 A2	10-23-1997	Netco Communications
	75.	TW 446851	07-21-2001	Omnivision Tech.
	76.	WO 94/012938 A1	06-09-1994	Compaq Computers
	77.	WO 95/16238 A1	06-15-1995	Telequip Corp.
	78.	WO 97/39549 A2	10-23-1997	Netco Communicatn
	79.	WO 00/14987 A1	03-16-2000	Tenzing, Inc.

BALOON TO 81473 (2K)

**Date Considered**

**EXAMINER:** Initial if information contained, whether or not it is in conformance with MPEP 1009. Deny those things claimed if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's name contains derivative name (optional)." See *Kodak Co. Case of USPTO Patent Document at 10000.uspto.gov or MPEP 1009.4.* Enter Office fax issued the document, by the two-letter code (WFO) Standard ST. If "Yes" Japanese patent documents indicate the use of year of filing of the Japanese name precede the serial number of the parent document. Check mark if English translation is attached. This collection of information is requested by 17 CFR 1.17 and 1.18. The information is requested to obtain and retain a record of the Office which will be used in connection with the examination of the application and the issuance of any registration or other action upon the application from the USPTO. This will help determine the individual cases. Any comment on the nature of this requirement to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1430, Alexandria, VA 22313-1430. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	80.	WO 00/19294 A2	04-06-2000	McRay, Matthew		
	81.	WO 00/03316 A1	01-20-2000	Ericsson		
	82.	WO 00/55707 A1	09-21-2000	SCM Microsystems		
	83.	WO 00/67098 A1	11-09-2000	Microsoft Corp.		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	84.	A Widget Makes a Bright Debut, article in "Shenzhen Special Zone Daily," October 9, 1999.	
	85.	Affidavit of Cheng Xiaohua of Netac Technology Co. Ltd., China; submitted to the High Court of the Republic of Singapore in Suit No. S672/2002/S; June 3, 2003	
	86.	Claims filed by the applicant of EP 1234305 on 10/10/2006	
	87.	Decision by the EPO to refuse EP publication no. 1234305 dated February 1, 2007.	
	88.	Decision issued by The UK Patent Office in Application under Section 72 for revocation of patent No. GB 2371653 dated November 8, 2006	
	89.	GROUNDS OF DECISION issued in Civil Appeals Nos 69 and 70 of 2005 in the Court of Appeals of the Republic of Singapore, December 30, 2005.	
	90.	JBMail, article, December 2001, "PC Tip Magazine." Switzerland.	
	91.	Minutes of Oral Proceedings held in EPO on 11/09/06 for EP Publication No. 1 234 305 issued on 02/01/2007	
	92.	SHENZHEN NETAC TECHNOLOGY Co., Ltd publication "Very Small" floppy disk-Only Disk, October 4, 1999.	
	93.	Statutory Declaration of Cheng Xiaohua of Netac Technology Co. Ltd., China; executed under oath in Singapore; September 8, 2003	
	94.	TOSHIBA: Press Release November 12, 2001 "Toshiba and Sandisk Introduce a One Gigabit NAND Flash Memory Chip, Doubling Capacity of Future Flash Products," Published November 12, 2001, Pages 1-3	
	95.	TOSHIBA: Press Release September 26, 2000 "Toshiba Introduces 512M bit and 1G bit NAND Flash Memories," Published September 26, 2000, Pages 1-2.	
	96.	WIBU-KEY User's Guide for Windows, DOS, OS/2 and MacOS on IBM compatible PCs and Macintosh Version 2.5, Published July 1998, Pages 11 to 16 and 25 to 27	

PALALTO 81472 (2K)	Date Considered
<p><small>*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **Applicant's unique citation designation number (optional). *See Kind Codes of USPTO Patent Database at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. *Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the name of the registrant is sufficient. If the patent was issued by a Japanese Patent Office, enter the name of the Japanese Patent Office. If the patent was issued by another office, enter the name of the office. A checkmark here of English translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is provided by 15 U.S.C. 22 and 37 CFR 1.4. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form should be addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</small></p>	